

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Ladd Robert T.</u> (Last) (First) (Middle) C/O STELLUS CAPITAL INVESTMENT CORP 4400 POST OAK PARKWAY, SUITE 2200 (Street) HOUSTON TX 77027 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Stellus Capital Investment Corp [SCM]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) President and CEO
	3. Date of Earliest Transaction (Month/Day/Year) 08/04/2020	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	08/04/2020		P		2,000	A	\$7.9893	578,653.85 ⁽¹⁾	D	
Common Stock	08/04/2020		P		2,000	A	\$7.9934	580,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.9999	582,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.9956	584,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.9995	586,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.97	588,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.981	590,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.955	592,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.9425	594,653.85	D	
Common Stock	08/04/2020		P		2,000	A	\$7.9791	596,653.85	D	
Common Stock	08/06/2020		P		2,000	A	\$8.0568	598,653.85	D	
Common Stock	08/06/2020		P		2,000	A	\$8.0404	600,653.85	D	
Common Stock	08/06/2020		P		2,000	A	\$8.0602	602,653.85	D	
Common Stock	08/06/2020		P		2,000	A	\$8.055	604,653.85	D	
Common Stock	08/06/2020		P		2,000	A	\$8.034	606,653.85	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)					

Explanation of Responses:

1. Since the filing of the Reporting Person's last filing on Form 4 and before the transaction reported herein, the Reporting Person acquired 16,648 shares under the Issuer's Dividend Reinvestment Plan.

/s/ W. Todd Huskinson, 08/06/2020
attorney-in-fact
 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.