

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
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1. Name and Address of Reporting Person* <u>Ladd Robert T.</u> (Last) (First) (Middle) C/O STELLUS CAPITAL INVESTMENT CORP 4400 POST OAK PARKWAY, SUITE 2200 (Street) HOUSTON TX 77027 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Stellus Capital Investment Corp [SCM]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) President and CEO
	3. Date of Earliest Transaction (Month/Day/Year) 06/10/2014	
		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	06/10/2014		P		100	A	\$14.22	81,096 ⁽¹⁾	D	
Common Stock	06/10/2014		P		100	A	\$14.225	81,196	D	
Common Stock	06/10/2014		P		100	A	\$14.235	81,296	D	
Common Stock	06/10/2014		P		100	A	\$14.24	81,396	D	
Common Stock	06/10/2014		P		500	A	\$14.245	81,896	D	
Common Stock	06/10/2014		P		1,200	A	\$14.2465	83,096	D	
Common Stock	06/10/2014		P		100	A	\$14.2479	83,196	D	
Common Stock	06/10/2014		P		2,800	A	\$14.25	85,996	D	
Common Stock	06/11/2014		P		1,523	A	\$13.98	87,519	D	
Common Stock	06/11/2014		P		2,000	A	\$13.9838	89,519	D	
Common Stock	06/11/2014		P		100	A	\$13.985	89,619	D	
Common Stock	06/11/2014		P		177	A	\$13.99	89,796	D	
Common Stock	06/11/2014		P		200	A	\$13.995	89,996	D	
Common Stock	06/11/2014		P		1,000	A	\$14	90,996	D	
Common Stock	06/11/2014		P		2,500	A	\$14.01	93,496	D	
Common Stock	06/11/2014		P		1,000	A	\$14.0299	94,496	D	
Common Stock	06/11/2014		P		3,000	A	\$14.0328	97,496	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

Explanation of Responses:

1. The amount of securities beneficially owned following the reported transactions also includes an additional 1,219 shares issued under the DRIP.

/s/ Robert T. Ladd

06/12/2014

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.