П

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287

| Estimated average burden | OND NUMBER.             | 3233-0201 |
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| nours per response. 0    | hours per response:     | 0.5       |

|                      | s of Reporting Perso     | n*       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Stellus Capital Investment Corp [ SCM ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |                       |  |  |
|----------------------|--------------------------|----------|---|---|--|-----------------------|--|--|
| <u>D'Angelo Dean</u> |                          |          | <u></u>   | X   | Director   | 10% Owner             |  |  |
|                      | (First)<br>CAPITAL INVES |          | 3. Date of Earliest Transaction (Month/Day/Year)<br>09/02/2015                                |   | Officer (give title<br>below)                                | Other (specify below) |  |  |
| 4400 POST OAP        | K PARKWAY, SU            | IIE 2200 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                      | 6. Indiv<br>Line)   | idual or Joint/Group Filing                                  | (Check Applicable     |  |  |
| (Street)<br>HOUSTON  | TX 77027                 |          |   | X   | Form filed by One Repor<br>Form filed by More than<br>Person | 0                     |  |  |
| (City)               | (State)                  | (Zip)    |   |   |  |                       |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year)<br>(Month/Day/Year) |  |      |   |        | Acquired<br>(D) (Instr. |                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|--|------|---|--------|-------------------------|--------------------|---|---|---|
|                                 |  |  | Code | v | Amount | (A) or<br>(D)           | Price              | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock                    | 09/02/2015   |  | Р    |   | 950    | Α                       | \$ <mark>11</mark> | 75 <b>,</b> 200 <sup>(1)</sup>  | D   |   |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | ative<br>rities<br>ired<br>osed | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title<br>Amour<br>Securi<br>Under<br>Deriva<br>Securi<br>and 4) | nt of<br>ties<br>lying<br>tive<br>ty (Instr. 3 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|---------------------------------|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)                             | Date<br>Exercisable                            | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares         |   |  |  |  |

Explanation of Responses:

1. The amount of securities beneficially owned following the reported transaction also includes an additional 683 shares issued under the dividend reinvestment plan.

### <u>09/04/2015</u>

Date

\*\* Signature of Reporting Person

/s/ Dean D'Angelo

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.